

10/015916

6-11-03

DT16 Rec'd PCT/PTO 10 JUN 2003

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | Docket No. 9981 | |
|--|----------------------------------|---------------------|---------------------------|--|
| In Re Application Of: Mengshi Lu et al. | | | | |
| Serial No. Unknown | Filing Date December 10, 2001 | Examiner Unknown | Group Art Unit Unknown | |
| Title: Stabilized Resin Compositions | | | | |
| Address to: Assistant Commissioner for Patents Washington, D.C. 20231 | | | | |
| 37 CFR 1.97(b) | | | | |
| <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | | |
| 37 CFR 1.97(c) | | | | |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); | | | | |
| OR | | | | |
| <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). | | | | |

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
9981

In Re Application: Mengshi Lu et al.

Serial No.
Unknown

Filing Date
December 10, 2001

Examiner
Unknown

Group Art Unit
Unknown

Stabilized Resin Compositions

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JUN 16 2003
TC 1700

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 08-2453 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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*This certificate may only be used if paying by deposit account.

Dated: 6/10/03

Signature

Karen E. Klumas
Reg. No. 31,070
Ticona LLC
86 Morris Avenue
Summit, New Jersey 07901
Telephone: 908-522-7867

CC:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

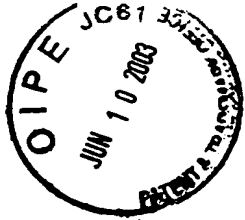
I hereby certify that this paper and fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.18 in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C. 20231 on

June 10, 2003

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Signature: Monica Petrus

Printed Name: Monica Petrus



Attorney's Docket No. 9981

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Mengshi Lu, et al.

Serial No.: 10/015,916

Group Art Unit: 1755

Filed: December 10, 2001

Examiner: Unknown

For: **STABILIZED RESIN COMPOSITIONS**

**Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

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INFORMATION DISCLOSURE STATEMENT (SUPPLEMENTAL)

Sir:

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b).

In order to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. §1.56, submitted herewith on Form PTO-1449 is a supplemental list of documents known to Applicants. Copies of these documents are also being submitted to comply with the provisions of 37 C.F.R. §1.97 and §1.98.

These documents (WO 00 73379, EP 0251712, EP 0048483, and JP 53147749) were cited in the International Search Report dated March 10, 2003 for the corresponding PCT application. A copy of the Search Report is attached.

The submission of these documents is not intended as an admission that any or all of these materials are prior art against the claims of the present application. Applicants do not waive any rights to file Affidavits under 37 C.F.R. §1.131, to copy claims from the listed patent documents, or to take any other action which would be

appropriate in the event that one or more of the documents are determined to be questionable as references against the claims of the present application.

None of these documents are believed to impair the patentability of the presently claimed invention. Nevertheless, to complete the record, formal consideration and citation of these documents is requested.

While no fees are believed to be required, the Commissioner is hereby authorized to charge payment of any fees that are associated with this to Deposit Account No. 08-2453.

Respectfully submitted:



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Certificate of Mailing 37 CFR 1.10

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service Express Mail Post Office to Addressee Service Label No. EV191359310US in an envelope addressed to the Mail Stop DD, Commissioner for Patents, Alexandria, VA 22313-1450.

By: Monica Pettus
Monica Pettus

Date: 6/10/03

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

9981

Application Number

10/015,916

Applicant(s)

Mengshi Lu et al.

Filing Date

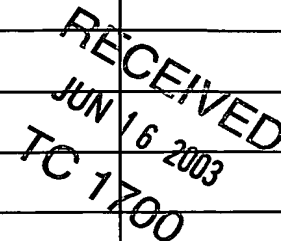
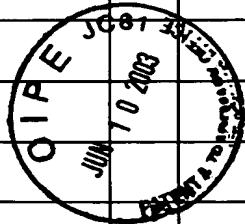
December 10, 2001

Group Art Unit

1755

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|-------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | | 00 73379 | 12/00 | WO | | | | |
| | | 0251712 | 07/88 | EP | | | | |
| | | 0048483 | 03/82 | EP | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|--|---|
| | | Patent Abstracts of Japan, Vol. 003, No. 023 (C-038); 26 February 1979 & JP 53 147749A (Teijin Ltd) 22 December 1978 Abstract |
| | | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.